

091-2567A

For Internal Use Only	Submit 1 Original	OMB Approval No.:
ec File No. 91 -	and 9 Copies	Expires:
Estimated average burden hours per response: 2.00		

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)

SEC Mail Processing

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report
Washington, DC

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

YSE Arca, Inc. (trading pursuant to unlisted trading privileges)

Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

Securities and Exchange Commission
Trading and Markets

3. Class of New Derivative Securities Product:

Managed Fund Shares

OCT 09 2018

Name of Underlying Instrument:

a

RECEIVED

If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

a

4. Ticker Symbol(s) of New Derivative Securities Product:

OCT

Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

a

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+2/Book entry only held in DTC.



18010858

Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

October 5, 2018

SEC 2449 (1/99)

Act Securities Exchange Act of 1934

19b-4

Public
Availability:

OCT 09 2018